

# The classification problem for Cuntz-Krieger algebras

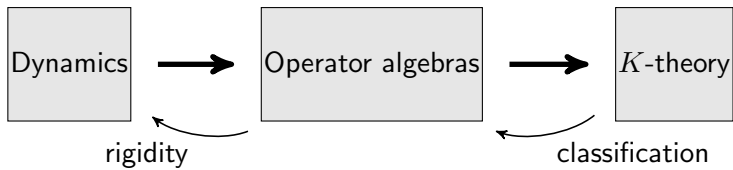
Søren Eilers  
eilers@math.ku.dk

Department of Mathematical Sciences  
University of Copenhagen

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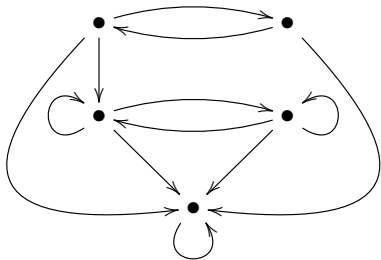
# Content

# Outline



Throughout we let  $A \in M_n(\mathbb{N}_0)$  be **essential**: no zero rows, no zero columns. We consider  $A$  as the adjacency matrix of a graph  $G_A$ .

$$\begin{bmatrix} 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$



## Definition

Let  $\mathfrak{a}$  be a finite set. A **shift space** over  $\mathfrak{a}$  is a subset of  $\mathfrak{a}^{\mathbb{Z}}$  which is closed (product topology) and shift invariant.

## Edge shifts

$$\begin{aligned} X_A &= \{(e_n) \in E(G_A)^{\mathbb{Z}} \mid r(e_n) = s(e_{n+1})\} \\ X_A^+ &= \{(e_n) \in E(G_A)^{\mathbb{N}} \mid r(e_n) = s(e_{n+1})\} \end{aligned}$$

# Cuntz-Krieger algebras

## Definition

$\mathcal{O}_A$  is the universal  $C^*$ -algebra generated by mutually orthogonal projections  $\{p_v : v \in V(G_A)\}$  and partial isometries  $\{s_e : e \in E(G_A)\}$  with mutually orthogonal ranges, subject to

①  $s_e^* s_e = p_{r(e)}$

②  $p_v = \sum_{s(e)=v} s_e s_e^*$

## Key observations

- $K_0(\mathcal{O}_A) = \text{coker}(A^t - I)$  and  $K_1(\mathcal{O}_A) = \ker(A^t - I)$
- $s_e \mapsto \lambda s_e, p_v \mapsto p_v$  induces a gauge action  $\mathbb{T} \mapsto \text{Aut}(\mathcal{O}_A)$
- $\mathcal{O}_{[1]} = C(\mathbb{T}), \mathcal{O}_{\begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}} = M_2(C(\mathbb{T}))$  etc.

## Geometric classification problem

Describe the equivalence relation on graphs defined by

$$G_A \sim_{C^*} G_B \iff \mathcal{O}_A \otimes \mathbb{K} \simeq \mathcal{O}_B \otimes \mathbb{K}$$



## Flow equivalence

### Definition

The **suspension flow**  $SX$  of a shift space  $X$  is  $X \times \mathbb{R} / \sim$  with

$$(x, t) \sim (\sigma(x), t - 1)$$

Note that  $SX$  has a canonical  $\mathbb{R}$ -action.

## Definitions

Let  $X$  and  $Y$  be shift spaces.

- $X$  is conjugate to  $Y$  (written  $X \simeq Y$ ) if there is a shift-invariant homeomorphism  $\varphi : X \rightarrow Y$ .
- $X$  is flow equivalent to  $Y$  (written  $X \sim_{\text{FE}} Y$ ) if there is an orientation-preserving homeomorphism  $\psi : SX \rightarrow SY$

## Theorem (Cuntz/Krieger 1980)

$$X_A \sim_{\text{FE}} X_B \implies \mathcal{O}_A \otimes \mathbb{K} \simeq \mathcal{O}_B \otimes \mathbb{K}$$

# Moves

## Move (I)

Insplit at any vertex, e.g.



## Move (O)

Outsplit at any vertex, e.g.



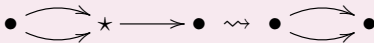
## Theorem (Williams 1973)

*The following are equivalent*

- ①  $X_A \simeq X_B$
- ②  $G_A$  can be obtained from  $G_B$  by a finite number of moves of type **(I)** and **(O)**, and their inverses.

## Move (R)

Reduce a configuration with a transitional vertex, e.g.

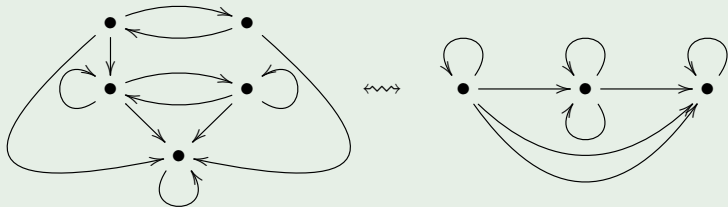


## Theorem (Parry/Sullivan 1975)

*The following are equivalent*

- 1  $X_A \sim_{\text{FE}} X_B$
- 2  $G_A$  can be obtained from  $G_B$  by a finite number of moves of type **(I)**, **(O)**, and **(R)**, and their inverses.

## Example



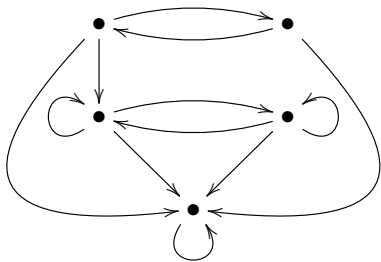
## Conclusion

When  $G_A$  can be obtained from  $G_B$  by a finite number of moves of type **(I)**, **(O)**, and **(R)**, and their inverses, we have that  $G_A \sim_{C^*} G_B$ .

# Outline

The gauge invariant ideals of  $\mathcal{O}_A$  can be determined directly from a block structure of  $A$  with irreducible diagonal blocks.

$$\left[ \begin{array}{cc|ccc} 0 & 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ \hline 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{array} \right]$$



### Cuntz' condition (II)

The following are equivalent

- 1 No irreducible block of  $A$  is a permutation matrix
- 2 All ideals of  $\mathcal{O}_A$  are gauge invariant
- 3  $\mathcal{O}_A$  has a finite number of ideals



### Theorem (Rørdam 1995)

*The  $K_0$ -group  $K_0(\mathcal{O}_A)$  is a complete invariant for stable isomorphism of simple Cuntz-Krieger algebras.*

### Theorem (Rørdam 1997)

*The six-term exact sequence*

$$\begin{array}{ccccc} K_0(\mathfrak{I}) & \longrightarrow & K_0(\mathcal{O}_A) & \longrightarrow & K_0(\mathcal{O}_A/\mathfrak{I}) \\ & & \uparrow & & \downarrow \\ K_1(\mathcal{O}_A/\mathfrak{I}) & \longleftarrow & K_1(\mathcal{O}_A) & \longleftarrow & K_1(\mathfrak{I}) \end{array}$$

*is a complete invariant for stable isomorphism of Cuntz-Krieger algebras with a unique non-trivial ideal  $\mathfrak{I}$ .*

### Theorem (Restorff 2006)

*The reduced filtered  $K$ -theory  $\mathbf{FK}(-)$  consisting of certain partial strands of six-term exact sequences*

$$K_1(\mathfrak{J}/\mathfrak{J}) \longrightarrow K_0(\mathfrak{K}/\mathfrak{J}) \longrightarrow K_0(\mathfrak{K}/\mathfrak{J}) \longrightarrow K_0(\mathfrak{J}/\mathfrak{J})$$

*arising from a selection of ideals*

$$\mathfrak{J} \triangleleft \mathfrak{J} \triangleleft \mathfrak{K} \triangleleft \mathcal{O}_A$$

*is a complete invariant for stable isomorphism of Cuntz-Krieger algebras with condition (II).*

## Example

With

$$A = \begin{bmatrix} 1 & 1 & 0 \\ 1 & 1 & 1 \\ 0 & 1 & 1 \end{bmatrix}$$

we have

$$K_0(\mathcal{O}_A) = \mathbb{Z} = K_0(\mathcal{O}_{[1]})$$

but

$$K_0(\mathcal{O}_A)_+ = \mathbb{Z} \neq \mathbb{N}_0 = K_0(\mathcal{O}_{[1]})_+$$

## Observation

The **ordered**  $K_0$ -group  $K_0(\mathcal{O}_A)$  is a complete invariant for stable isomorphism of **gauge** simple Cuntz-Krieger algebras.

# Outline

### Theorem (Franks 1984)

*The pair  $(\text{coker}(A^t - I), \text{sgn}(I - A^t))$  is a complete invariant for classification of infinite irreducible shifts of finite type up to flow equivalence.*

Recall that  $K_0(\mathcal{O}_A) = \text{coker}(A^t - I)$ .

## Theorem (Rørdam 1995)

The following are equivalent when  $\mathcal{O}_A$  and  $\mathcal{O}_B$  are simple

- 1  $\mathcal{O}_A \otimes \mathbb{K} \simeq \mathcal{O}_B \otimes \mathbb{K}$
- 2  $K_0(\mathcal{O}_A) \simeq K_0(\mathcal{O}_B)$
- 3  $X_A$  is flow equivalent to  $X_B$  or to  $X_{B'}$  where

$$B' = \begin{bmatrix} b_{11} & \cdots & b_{1n} & 0 & 0 \\ \vdots & & \vdots & 0 & 0 \\ b_{n1} & \cdots & b_{nn} & 1 & 0 \\ 0 & \cdots & 1 & 1 & 1 \\ 0 & \cdots & 0 & 1 & 1 \end{bmatrix}$$

Cuntz had reduced 3  $\implies$  1 to the case  $A = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$  by an argument we will generalize below.

## Move (C)

“Cuntz splice” on a vertex supporting two cycles



## Geometric version of Rørdam's theorem

### Theorem

*The following are equivalent when  $\mathcal{O}_A$  and  $\mathcal{O}_B$  are simple*

- 1  $\mathcal{O}_A \otimes \mathbb{K} \simeq \mathcal{O}_B \otimes \mathbb{K}$
- 2  $K_0(\mathcal{O}_A) \simeq K_0(\mathcal{O}_B)$
- 3  $G_A$  can be obtained from  $G_B$  by a finite number of moves of type **(R)**, **(I)**, **(O)** and **(C)**, and their inverses.



## Theorem (Restorff 2006, E/Restorff/Ruiz/Sørensen 2015)

When  $A$  and  $B$  satisfy one of

- $A$  and  $B$  have property (II)
- $\mathcal{O}_A$  and  $\mathcal{O}_B$  each have at most one non-trivial gauge invariant ideal
- $A$  and  $B$  have property ( $\neg$ II): Every irreducible component is a permutation matrix.

the following are equivalent

- 1  $\mathcal{O}_A \otimes \mathbb{K} \simeq \mathcal{O}_B \otimes \mathbb{K}$
- 2  $\mathbf{FK}^{+, \gamma}(\mathcal{O}_A) \simeq \mathbf{FK}^{+, \gamma}(\mathcal{O}_B)$
- 3  $G_A$  can be obtained from  $G_B$  by a finite number of moves of type **(R)**, **(I)**, **(O)** and **(C)**, and their inverses.

## Example

The pair of matrices

$$\begin{bmatrix} 0 & 1 & 0 & 0 & \mathbf{1} \\ 1 & 0 & 1 & 0 & \mathbf{1} \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

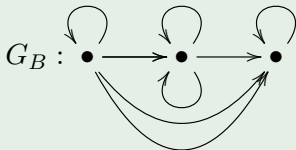
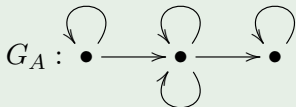
$$\begin{bmatrix} 0 & 1 & 0 & 0 & \mathbf{0} \\ 1 & 0 & 1 & 0 & \mathbf{0} \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 & 1 \end{bmatrix}$$

is not covered by the previous result.

# Outline

## Example (E/Restorff/Ruiz/Sørensen 2015)

When



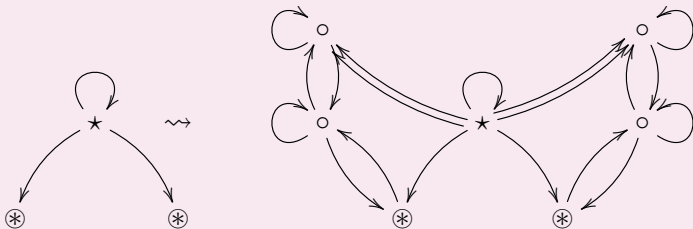
we get that

$$\mathcal{O}_A \otimes \mathbb{K} \simeq \mathcal{O}_B \otimes \mathbb{K},$$

yet  $G_A$  can not be obtained from  $G_B$  by a finite number of moves of type **(R)**, **(I)**, **(O)** and **(C)**, or their inverses.

## Move (P)

“Pulelehua move” on a vertex supporting a single cycle emitting only singly to vertices supporting two cycles



## Theorem (E/Restorff/Ruiz/Sørensen 2015)

*The following are equivalent*

- 1  $\mathcal{O}_A \otimes \mathbb{K} \simeq \mathcal{O}_B \otimes \mathbb{K}$
- 2  $\mathbf{FK}^{+, \gamma}(\mathcal{O}_A) \simeq \mathbf{FK}^{+, \gamma}(\mathcal{O}_B)$
- 3  $G_A$  can be obtained from  $G_B$  by a finite number of moves of type **(R)**, **(I)**, **(O)**, **(C)** and **(P)**, and their inverses.

# Outline

Is it easy to see that  $\mathcal{D}_A \subseteq \mathcal{O}_A$  given by

$$\mathcal{D}_A = \{s_\mu s_\mu^* \mid \mu = e_1 \cdots e_n\}$$

is Abelian, and in fact

**Theorem (Cuntz/Krieger 1980)**

$$X_A \sim_{\text{FE}} X_B \implies (\mathcal{O}_A \otimes \mathbb{K}, \mathcal{D}_A \otimes c_0) \simeq (\mathcal{O}_B \otimes \mathbb{K}, \mathcal{D}_B \otimes c_0)$$



### Theorem (Matsumoto/Matui 2013)

*The following are equivalent when  $\mathcal{O}_A$  and  $\mathcal{O}_B$  are simple*

- 1  $(\mathcal{O}_A \otimes \mathbb{K}, \mathcal{D}_A \otimes c_0) \simeq (\mathcal{O}_B \otimes \mathbb{K}, \mathcal{D}_B \otimes c_0)$
- 2  $K_0(\mathcal{O}_A) \simeq K_0(\mathcal{O}_B)$  and  $\det(I - A) = \det(I - B)$
- 3  $X_A$  is flow equivalent to  $X_B$
- 4  $G_A$  can be obtained from  $G_B$  by a finite number of moves of type **(R)**, **(I)** and **(O)**, and their inverses.

Theorem (Arklint/E/Ruiz, Carlsen/E/Ortega/Restorff 2016)

*The following are equivalent when  $\mathcal{O}_A$  and  $\mathcal{O}_B$  are simple*

- 1  $(\mathcal{O}_A \otimes \mathbb{K}, \mathcal{D}_A \otimes c_0) \simeq (\mathcal{O}_B \otimes \mathbb{K}, \mathcal{D}_B \otimes c_0)$
- 2  $X_A$  is flow equivalent to  $X_B$
- 3  $G_A$  can be obtained from  $G_B$  by a finite number of moves of type **(R)**, **(I)** and **(O)**, and their inverses.

- Isomorphism is decidable due to of recent results by Boyle/Steinberg.
- The first geometric classification result extends to all unital graph algebras. The second is not known to.
- The classification is strong and thus leads to exact classification by taking the class of the unit into account.
- By entirely different methods, a complete classification for purely infinite graph algebras with finitely many ideals has been obtained by Bentmann/Meyer.
- No list of moves is known to generate stable isomorphism even for simple (nonunital!) graph algebras.
- There exist two graph algebras each with two non-trivial ideals for which the classification problem is open.